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INTERNATIONAL APPLICATION NO.

PCT/JP05/04037

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/591,752

Akiho Yokota

2006_1303A

WENDEROTH, LIND & PONACK, L.L.P.

I.A. FILING DATE

03/02/2005

PRIORITY DATE

03/03/2004

2033 K STREET N. W. SUITE 800 **WASHINGTON, DC 20006-1021**

WENDEROTH, LIND & PONACK

CONFIRMATION NO. 3250

371 FORMALITIES LETTER

OC000000023821838

Date Mailed: 05/11/2007

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 /

703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.

• Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/591,752	PCT/JP05/04037	2006_1303A

FORM PCT/DO/EO/922 (371 Formalities Notice)

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: $\frac{10/591, 752}{5 \text{ ource:}}$ Date Processed by STIC: $\frac{10/591, 752}{59/21/2006}$

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
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FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

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http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

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- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06



IFWP

RAW SEQUENCE LISTING

DATE: 09/21/2006

PATENT APPLICATION: US/10/591,752

TIMB: 11:36:46

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5 Technology for the Earth and Kinki University

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C--> 8 <140> CURRENT APPLICATION NUMBER: US/10/591,752

C--> 8 <141> CURRENT FILING DATE: 2006-09-01

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Does Not Comply
Corrected Diskette Needed
Corrected, 7, 7, 3, 56, 7, 8)

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RAW SEQUENCE LISTING

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240

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PATENT APPLICATION: US/10/591,752

RAW SEQUENCE LISTING DATE: 09/21/2006
PATENT APPLICATION: US/10/591,752 TIME: 11:36:46

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     411 aatcttctac tggtacatgg acaactgtat ggaccgatgg acttaccagc cttgatcgtt
                                                                                 720
     412 acaaagggcg atgctaccgc atcgagcgtg ttgttggaga aaaagatcaa tatattgctt
     413 atgtagetta ceetttagae etttttgaag aaggttetgt taccaacatg tttaetteca
                                                                                 780
                                                                                 840
     414 ttgtaggtaa cgtatttggg ttcaaagccc tgcgcgctct acgtctggaa gatctgcgaa
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     415 tecetectge ttatgttaaa aettteeaag gteegeetea tgggateeaa gttgaaagag
     416 ataaattgaa caagtatggt cgtcccctgt tgggatgtac tattaaacct aaattggggt
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/591,752

RAW SEQUENCE LISTING DATE: 09/21/2006

PATENT APPLICATION: US/10/591,752 TIME: 11:36:46

Input Set : A:\pto.da.txt

Output Set: N:\CRF4\09212006\J591752.raw

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417 tatctgctaa aaactacggt agagccgttt atgaatgtct tcgcggtgga cttgatttta
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     418 ctaaagatga tgagaacgtg aactcacaac catttatgcg ttggagagat cgtttcttat
                                                                                1080
     419 tttgtgccga agcactttat aaagcacagg ctgaaacagg tgaaatcaaa gggcattact
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     420 tgaatgctac tgcaggtaca tgcgaagaaa tgatcaaaag agctgtattt gctagagaat
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     421 tgggcgttcc gatcgtaatg catgactact taacgggggg attcaccgca aatactagct
                                                                                1260
     422 tggctcatta ttgccgagat aatggtctac ttcttcacat ccaccgtgca atgcatgcgg
                                                                                1320
    . 423 ttattgatag acagaagaat catggtatcc acttccgggt attagcaaaa gcgttacgta
                                                                                1380
     424 tgtctggtgg agatcatatt cactctggta ccgtagtagg taaacttgaa ggtgaaagag
                                                                                1440
     425 acataacttt gggctttgtt gatttactgc gtgatgattt tgttgaacaa gatcgaagtc
                                                                                1500
     426 geggtattta tttcactcaa gattgggtct ctttaccagg tgttctaccc gtggcttcag
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     427 gaggtattca cgtttggcat atgcctgctc tgaccgagat ctttggggat gattccgtac
                                                                                1620
     428 tacagttcgg tggaggaact ttaggacatc cttggggtaa tgcgccaggt gccgtagcta
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     429 atcgagtagc tctagaagca tgtgtaaaag ctcgtaatga aggacgtgat cttgctcagg
                                                                                1740
     430 aaggtaatga aattattcgc gaggcttgca aatggagccc ggaactagct gctgcttgtg
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     431 aagtatggaa agagatcgta tttaattttg cagcagtgga cgttttggat aagtaaaaac
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     432 agtagacatt agcagataaa ttagcaggaa ataaagaagg ataaggagaa agaactcaag
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     433 taattatcct tcgttctctt aattgaattg caattaaact cggcccaatc ttttactaaa
                                                                                1980
     434 aggattgage egaatacaae aaagatteta ttgcatatat tttgactaag tatataetta
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 435 cctagatata caagatttga aatacaaaat ctagaaaact aaatcaaaat ctaagactca
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     436 aatctttcta ttgttgtctt ggatcgcggc cgcgctagcg tcgacgatcc ttaggattgg
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     437 tatattettt tetateetgt agtttgtagt tteeetgaat caagecaagt atcacacete
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     438 tttctaccca tcctgtatat tgtccccttt gttccgtgtt gaaatagaac cttaatttat
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B--> 459 ttgcgctcac tgcccgcttt ccagtcggga aacctgtcgt gccagctgca ttaatgaatc
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RAW SEQUENCE LISTING DATE: 09/21/2006 PATENT APPLICATION: US/10/591,752 TIME: 11:36:46

Input Set : A:\pto.da.txt.

Output Set: N:\CRF4\09212006\J591752.raw

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                                                                              4020
   468 gaaccccccg ttcagcccga ccgctgcgcc ttatccggta actatcgtct tgagtccaac
                                                                              4080
   469 ccggtaagac acgacttatc gccactggca gcagccactg gtaacaggat tagcagagcg
                                                                              4140
   470 aggtatgtag gcggtgctac agagttcttg aagtggtggc ctaactacgg ctacactaga
                                                                              4200
   471 aggacagtat ttggtatctg cgctctgctg aagccagtta ccttcggaaa aagagttggt
                                                                              4260
   472 agetetteat, enggeaaaca aaccaeeget ggtageggtg gtttttttgt ttgeaageag
                                                                              4320
   473 cagattacge geagaaaaaa aggateteaa gaagateett tgatetttte taeggggtet
                                                                              4380-
   474 gacgctcagt ggaacgaaaa ctcacgttaa gggattttgg tcatgagatt atcaaaaagg
                                                                              4440
   475 atcttcacct agatcctttt aaattaaaaa tgaagtttta aatcaatcta aagtatatat
                                                                              4500
   476 gagtaaactt ggtctgacag ttaccaatgc ttaatcagtg aggcacctat ctcagcgatc
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   484 .ttggccycagaigttatcach catggttatg gcagcactgc ataattctct.tactgtmatg
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   485 ccatccgtaa gatgcttttc tgtgactggt gagtactcaa ccaagtcatt ctgagaatag
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   486 tgtatgcggc gaccgagttg ctcttgcccg gcgtcaatac gggataatac cgcgccacat
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                                                                              5520
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                                                                              5581
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   496 <211> LENGTH: 1434
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   498 <213> ORGANISM: Nicotiana tabacum
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   503 cgagtaactc ctcaacctgg agttccacct gaagaagcag gggccgcggt agctgccgaa .
                                                                               180
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                                                                               240
   505 aaagggcgat gctaccgcat cgagcgtgtt gttggagaaa aagatcaata tattgcttat
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   506 gtagcttacc ctttagacct ttttgaagaa ggttctgtta ccaacatgtt tacttccatt
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                                                                               420
   508 cctcctgctt atgttaaaac tttccaaggt ccgcctcatg ggatccaagt tgaaagagat
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   513 aatgctactg caggtacatg cgaagaaatg atcaaaagag ctgtatttgc tagagaattg
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   514 ggcgttccga tcgtaatgca tgactactta acggggggat tcaccgcaaa tactagcttg
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PATENT APPLICATION: US/10/591,752
                                                             TIMB: 11:36:46
                     Input Set : A:\pto.da.txt
                     Output Set: N:\CRF4\09212006\J591752.raw
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                                                                                960
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     518 ataactttgg gctttgttga tttactgcgt gatgattttg ttgaacaaga tcgaagtcgc
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     521 cagttcggtg gaggaacttt aggacatçet tggggtaatg cgccaggtgc cgtagctaat
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   · 522 cgagtagete tagaageatg tgtaaaaget egtaatgaag gaegtgatet tgeteaggaa
                                                                               1320
     523 ggtaatgaaa ttattcgcga ggcttgcaaa tggagcccgg aactagctgc tgcttgtgaa
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     524 gtatggaaag agatcgtatt taattttgca gcagtggacg ttttggataa gtaa
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   . - 533 atcaatgggc. agtcttggtc ctattgaaaa harcaatgaa gatccaaatc gaaaagtgaa
                                                                              . 180
     534 aaacattcat agttggagga atcgtgacaa ttctagttgc agtaatgttg attatttatt
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     536 tggagacagt tattccatct attttgatat tgaaaatcat atttttgaga ttgacaacga
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     538 gaataatgga tttaggggcg aagatcccta ctataattct tacatgtatg atactcaata
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     539 tagttggaat aatcacatta atagttgcat tgatagttat cttcagtctc aaatctgtat
                                                                                540
     540 agatacttcc attataagtg gtagtgagaa ttacggtgac agttacattt atagggccgt
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     541 ttgtggtggt gaaagtcgaa atagtagtga aaacgagggt tccagtagac,gaactcgcac
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₩--> 547 ·
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                                                                                 21
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     552 <211> LENGTH: 7
     553 <212> TYPE: DNA
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W--> 555 <
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B--> 558/??
B--> 560 ??
B--> 562 ??
                     Pls delete
B--> 564\??
B--> 571 1/14
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RAW SEQUENCE LISTING

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/591,752

DATE: 09/21/2006

TIME: 11:36:47

Input Set: A:\pto.da.txt
Output Set: N:\CRF4\09212006\J591752.raw

L:6 M:283 W: Missing Blank Line separator; <120> field identifier L:7 M:283 W: Missing Blank Line separator, <130> field identifier L:8 M:270 C: Current Application Number differs, Replaced Current Application No L:8 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:8 M:283 W: Missing Blank Line separator, <160> field identifier L:9 M:283 W: Missing Blank Line separator, <210> field identifier L:13 M:256 W: Invalid Numeric Header Field, <220> has non-blank-data-L:15 M:283 W: Missing Blank Line separator, <400> field identifier L:15 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:1, Line#:14 L:43 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:1 L:66 M:283 W: Missing Blank Line separator, <220> field identifier L:66 M:256 W: Invalid Numeric Header Field, <220> has non-blank data L:68 M:283 W: Missing Blank Line separator, <400> field identifier L:68 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:2, Line#:67 L:91 M:283 W: Missing Blank Line separator, <220> field identifier L:91 M:256 W: Invalid Numeric Header Field, <220> has non-blank data L:93 M:283 W: Missing Blank Line separator, <400> field identifier L:93 M:258 W. Mandatory Feature missing, <223> Blank for SEQ#:3, Line#:92 L:140 M:283 W: Missing Blank Line separator, <220> field identifier L:140 M:256 W: Invalid Numeric Header Field, <220> has non-blank data L:142 M:283 W: Missing Blank Line separator, <400> field identifier L:142 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:4, Line#:141 L:164 M:283 W: Missing Blank Line separator, <220> field identifier L:164 M:256 W: Invalid Numeric Header Field, <220> has non-blank data L:165 M:259 W: Allowed number of lines exceeded, <220> FEATURE: L:167 M:283 W: Missing Blank Line separator, <400> field identifier L:167 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:5, Line#:166 L:219 M:283 W: Missing Blank Line separator, <220> field identifier L:219 M:256 W: Invalid Numeric Header Field, <220> has non-blank data L:220 M:259 W: Allowed number of lines exceeded, <220> FEATURE: L:221 M:283 W: Missing Blank Line separator, <400> field identifier L:250 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:7 L:250 M:283 W: Missing Blank Line separator, <400> field identifier L:259 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:8 L:259 M:283 W: Missing Blank Line separator, <400> field identifier L:268 M:200 B: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:9 L:268 M:283 W: Missing Blank Line separator, <400> field identifier L:287 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:10 L:288 M:200 B: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:10 L:288 M:283 W: Missing Blank Line separator, <400> field identifier L:370 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:11 L:371 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:11 L:371 M:283 W: Missing Blank Line separator, <400> field identifier L:378 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:12 L:378 M:283 W: Missing Blank Line separator, <400> field identifier L:387 M:200 B: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:13 L:387 M:283 W: Missing Blank Line separator, <400> field identifier

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/591,752

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Input Set : A:\pto.da.txt

Output Set: N:\CRF4\09212006\J591752.raw

L:399 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:14 L:400 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:14 L:400 M:283 W: Missing Blank Line separator, <400> field identifier L:459 M:254 E: No. of Bases conflict, LENGTH:Input:3504 Counted:3540 SEQ:14 L:500 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:15 L:500 M:283 W: Missing Blank Line separator, <400> field identifier L:530 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:16 L:530 M:283 W: Missing Blank Line separator, <400> field identifier L:547 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:17 L:548 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:17 L:548 M:283 W: Missing Blank Line separator, <400> field identifier L:555 M:258 W: Mandatory Feature missing, <220> Tag not found for SBQ ID#:18 L:556 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:18 L:556 M:283 W: Missing Blank Line separator, <400> field identifier L:558 M:334 E: (2) Invalid Amino Acid in Coding Region, NUMBER OF INVALID KEYS:1 L:560 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:18 L:560 M:334 E: (2) Invalid Amino Acid in Coding Region, NUMBER OF INVALID KEYS:1 L:562 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:18 ... L: 5.62 M: 334 E: (2) Invalid Amino Acid in Coding Region, NUMBER OF INVALID KEYS: 1. L:564 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:18 L:564 M:334 E: (2) Invalid Amino Acid in Coding Region, NUMBER OF INVALID KEYS:1 L:571 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:18 L:571 M:254 E: No. of Bases conflict, LENGTH:Input:14 Counted:8 SEQ:18 L:571 M:320 E: (1) Wrong Nucleic Acid Designator, NUMBER OF INVALID KEYS:2 L:571 M:252 E: No. of Seq. differs, <211> LENGTH:Input:7 Found:8 SEQ:18